

Company profile form

IMPORTANT: ALL SECTIONS MUST BE COMPLETED

Name of company (in full):

Company type (please tick one box):

- Quoted on a recognised or IOSCO compliant stock exchange
- 100% owned Subsidiary of a quoted company (majority owned for Cayman)
- Regulated financial service business (in an equivalent** jurisdiction)
- Private company
- 100% subsidiary of a regulated company (in a regulated equivalent **jurisdiction)
- Private company
- Other (please provide details)

Date of incorporation (dd/mm/yyyy):

Company number:

Jurisdiction of incorporation:

legislaion under which the company is formed:

Registered office address:

Mailing address (if differencnt from the registered office address):

Principal place of business (if differencnt from the registered office address):

Website address (if applicable):

Nature of business activities:

Details of any existing relationship(s) with Bedell Cristin:

VERIFICATION DOCUMENTS

Please note: the documents listed below are required by Bedell Cristin to verify the identity of the company in accordance with local laws and regulations. Bedell Cristin may need to request further information or documentation it considers necessary to fully understand any relationship or transaction.

Please tick beside each required document to confirm that you have attached a copy:

Company quoted on a stock exchange or a subsidiary of such:

- evidence of the company being quoted on a stock exchange (please contact Bedell Cristin for details of a list of exchanges which are deemed to be acceptable)
- a copy of the company's latest report and accounts
- if the company is a subsidiary - please provide a structure chart or a copy of the company's latest report and accounts which clearly shows such relationship
- a structure chart if the company is part of a multi entity structure

Company which is a regulated financial service business within an equivalent jurisdiction:**

- certified* evidence of regulatory licence or details of where this can be obtained online.
- certified evidence that the company is a subsidiary of a regulated financial services business (in an equivalent**jurisdiction)

Private and all other companies:

- a certified* copy certificate of incorporation and any certificate of re-registration or change of name
- a certified* copy memorandum and articles of association/incorporation
- a certified* copy of the register of members
- if any nominee shareholders have been appointed a certified* copy of the nominee agreement or declaration of trust and confirmation of the reasons for using nominees
- a certified* copy of the register of directors and secretary
- a certified* copy of the company's latest report and accounts (if available)
- a list of the ultimate beneficial owner(s) of the company. If ownership is not direct, please provide details of the ownership from the company back to the ultimate beneficial owner(s). You may wish to include a diagram and/or attach a separate sheet if necessary
- a completed "Individual Profile form" or an appropriate profile form for any for any individual with a material controlling ownership interest*** in the capital or net assets of the company (please contact Bedell Cristin for clarification if required)
- a structure chart if the company is part of a multi entity structure
- a completed "Individual Profile form" for each director, authorised signatory or equivalent, with ultimate effective control over the capital or assets of the company

Completed by:**Signature:**

Name (please print):

Date:

Capacity:

Organisation:

Address:

Telephone number:

Bedell Cristin is an organisation of separate legal practices and entities comprising of partnership and corporate form, each established to provide legal and/or professional services under the Bedell Cristin name from the various locations in which it is based.

*CERTIFICATION

When providing any copy documents these must be certified by a third party who is subject to professional rules of conduct. Suitable certifiers include:

- a lawyer, notary public, actuary or accountant who is a member of a relevant professional body
- a member of the judiciary or a senior civil servant
- a serving police or customs officer
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
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- a director, officer or manager of a regulated financial services business in an equivalent** jurisdiction.

Please ensure the required wording for the certification is replicated onto the photocopy that is certified as follows:

"I certify that I have seen the original document and that this extract of that document is an accurate copy.
 [Signature of certifier]
 [Name in block capitals]
 [Professional capacity]
 [Organisation]
 [Address]
 [Telephone number]
 [Date]"

** EQUIVALENT JURISDICTIONS

Please see links below to the relevant jurisdiction equivalent jurisdictions list:

- [GFSC Handbook - Appendix C](#)
- [JFSC Handbook - Appendix B](#)
- [BVI AML Code of practice - Schedule 2](#)
- [Cayman Islands list of equivalent jurisdictions](#)

Please note that these lists are subject to change. If you are unsure if the jurisdiction in question is classified as equivalently regulated please request confirmation from your Bedell Cristin contact

*** MATERIAL CONTROLLING INTERESTS

Each individual with a **material controlling ownership interest** in the capital of the person (through direct or indirect holdings of interests or voting rights) or who exerts **control through other ownership means**.

To the extent that there is doubt as to whether the individuals exercising control through ownership are beneficial owners, or where no individual exerts control through ownership, any other individual exercising **control** over the person **through other means**.

Where no individual is otherwise identified under this section, individuals who exercise **control** of the person **through positions held** (who have and exercise strategic decision-taking powers or have and exercise executive control through senior management positions).

For lower risk relationships, a general threshold of 25% (10% for BVI and Cayman) is considered to indicate a material controlling ownership interest in capital. However, where the distribution of interests is uneven the percentage where effective control may be exercised (a material interest) may be less than 25% when the distribution of other interests is taken into account, i.e. interests of less than 25% may be material interests.